



2014 S.L. Gimbel Foundation Fund Grant Application

Internal Use Only:	
Grant	20140757
:	\$25,000

Organization / Agency Information

Organization/Agency Name: Long Term Care Services of Ventura County Inc. Ombudsman Program		
2113		
Physical Address: 2021 Sperry Avenue Suite 35 City/State/Zip Ventura, CA 93003		
Mailing Address: Same City/State/Zip		
CEO or Director: Sylvia Taylor-Stein		Title: Executive Director
Phone: 805-656-1986	Fax: 805-658-8540	Email: staylor@ombudsmanventura.org
Contact Person: Same		Title:
Phone: Same	Fax: Same	Email: Same
Web Site Address: www.ombudsmanventura.org		Tax ID: 77-0199665

Program / Grant Information

Interest Area: Health Environment Animal Protection Education Human Dignity

Program / Project Name: Ombudsman Program		
Amount of Grant Requested: \$25,000	Total Organization Budget: \$551,400	Percentage of Organization's Total Budget used for Administration: 2.3%
Purpose of Grant Request (one sentence): Program Support		
Gimbel Grants Received: List Year(s) and Award Amount(s) Orange County Community Foundation – Gimbel Grant 2009; \$10,000; Orange County Community Foundation – Gimbel Grant 2010 \$8,000		

Signatures

Board President / Chair: (Print name and Title) Ernie Daw, Chairman of the Board of Directors	Signature: 	Date: 7-30-14
Executive Director/President: (Print name and Title) Sylvia Taylor-Stein, Executive Director	Signature: 	Date: 7-30-14

Organization Background; Target Population:

What is the history, mission and/or purpose of your organization? How long has the organization been providing programs and services to the community?

Long Term Care Services of Ventura County is a 501 (c) 3 non-profit and sole purpose agency that provides Ombudsman (a word meaning advocate) services to elderly residents in nursing homes and other long term care facilities in the county. It was founded in 1981 by two members of the National Council of Jewish Women and incorporated as a non-profit in 1988. Its mission is to help ensure the highest level of care possible for elderly persons living in nursing homes and other long term care settings; 60% of those in nursing homes have no family or friends who ever visit and they are unable to advocate for themselves. Although the federal mandate governing the Ombudsman Program nationwide only requires one annual visit per facility, the founders of the Ventura County Ombudsman Program set a mandate that stipulated all Ventura County skilled nursing facilities would be visited, unscheduled and unannounced, a minimum of once a week and all residential care facilities a minimum of once a month. This mandate is still in place today, even though during this time facilities have grown from 12 to 230 and residents within the facilities have increased from 1500 to over 8,500. Ombudsmen staff and volunteers provide direct services to the residents by monitoring their facilities, advocating for their rights and needs, investigating complaints made by or on their behalf, witnessing their health care directives, and overall fighting for their rights and needs. The Ombudsman program also processes complaints for 6 Adult Day Health Care facilities -700 attendees and 30 intermediate care facilities for the developmentally disabled - 200 residents.

What are some of your past organizational accomplishments (last three years)?

Over the past three years, the Ventura County ombudsman program has made culture change through least drugging in facilities its primary focus. This effort came about as a result of the abuse taking place in facilities where residents were heavily sedated or chemically restrained to control them. Many elderly residents with dementia were being given dangerous anti-psychotic drugs, even though the FDA issued its strongest black box warning that these drugs should not be given to this population and can result in their death. The Ventura Ombudsman Program created a series of symposia, bringing in experts to address this issue, and these events were attended by almost 1,000 people, including state licensing, health care professionals, facility providers and the public at large. In 2013, Sylvia Taylor-Stein was honored in Washington DC by Consumer Voice when she received the Howard Hinds Memorial award for her work in least drugging and for making a significant and effective difference in the lives of long term care residents. As a result of this effort, 75% of nursing homes in Ventura County have significantly reduced their use of these drugs.

What are your key programs and activities? Describe the communities you serve. Include populations, geographic locations served, and relevant statistics.

Key programs and activities: 1) Recruit, train and provide background clearance for adequate numbers of state certified volunteer Ombudsmen to make unscheduled visits to all county skilled nursing facilities once per week and all residential care facilities once a month. 2) Receive, investigate and resolve any complaints made on behalf of elderly residents. 3) Witness all Advanced Health Care Directives in Skilled Nursing Facilities. 4) Pre-admission counseling to those making difficult decisions about placing a loved one in long term care. 5) Support groups for caregivers. 6) Staff ombudsman provides training for facility staff and caregivers on elder rights, abuse and neglect.

Communities we serve: Our target population is the 8,500 elderly persons living in 234 nursing homes and other long term care facilities in Ventura County. All those in nursing homes suffer from some form of chronic illness, disease or disability and require 24 hour nursing care. The population in the assisted living/residential care facilities for the elderly requires assistance with activities of daily living. Most have varying degrees of dementia. Ombudsmen investigate and work on behalf of the residents to resolve health care issues and quality of life concerns and complaints including elder abuse; neglect; appropriate staffing; proper nutrition; physical and chemical restraints.

Last fiscal year the Ombudsman Program in Ventura County conducted 4,265 monitoring visits to 230 long term care facilities; helped facilitate 362 Residents' Councils; witnessed 138 Advanced Health Care Directives; conducted 22 In-service trainings for paid facility care staff; investigated 1,662 serious complaints of neglect and abuse; provided 360 families with Pre-placement counseling; provided long term care education to 2,229 Ventura County residents.

Project Information: Statement of Need:

All services provided by Long Term Care Services O Ombudsman program are free and confidential

In order to continue these much needed unduplicated services to our elderly in long term care facilities we need continual recruitment, training and retention of Ombudsman volunteers and a funding base that enables the program to grow as the number of facilities increase. This year facilities number 230 plus 6 Adult Day Health Care facilities for which we also serve as intake for complaints regarding health and care issues. We also process complaints for residents in 30 countywide intermediate care facilities for the developmentally disabled. The Ombudsman program is the only agency that solely exists to serve the frail elderly in long term care. In the absence of the Ombudsman, it is feared that most elder abuses and complaints in long-term care facilities would go unnoticed and unremedied, while levels of care and the quality of life for the frail and elderly residents would certainly deteriorate.

Project Goal: To continue the same level of advocacy that residents have enjoyed for the past 33 years, even with the facilities growing to 230 this year and over 8,500 residents, by recruiting and sustaining a professional staff and strong team of volunteers. To continue the effort to eliminate the off label and misuse of antipsychotic drugs for elderly persons with dementia.

Objective I:	Recruit, train, mentor 10 new volunteers for assignment in long term care facilities over next fiscal year
Activities	Publicize need for volunteers esp. in far east and west parts of county via press and speaking engagements; interview candidates for acceptance into training for certification; schedule 51 hour training; Provide mentorship; give assignments

Objective II:	Insure 100% of all ombudsmen - staff and volunteers - complete 12 hours of continuing education each fiscal year to be eligible for recertification
Activities:	Staff ombudsmen provide training workshops to ombudsman volunteers. Subjects cover long term care issues such as dementia, hospice, residents' rights, dignity and privacy, mandated reporting, environmental/safety hazards, diet and nutrition, physical and chemical restraints. Staff schedules 8 three-hour trainings per year and tracks each ombudsman to make sure they are in attendance.

Objective III:	Sponsoring a least drugging symposia aimed toward medical providers to continue effort to educate on the anti-psychotic drug use in facilities
Activities:	Experts in culture change and the least drugging movement will be recruited to continue symposia model to help ensure that all residents are not chemically restrained or sedated due to staff shortages or to keep them quiet.

Timeline: All ombudsman work is ongoing. Objective III timeline Spring, 2015

Who will grant serve: 8,500 elderly residents in long term care and their families; 100 medical providers; 700 attendees in Adult Day Health Care Programs, 200 Developmentally Disabled Residents in 20 Intermediate Care Facilities

How project relates: No other agency or program provides federally mandated advocacy services to the dependent and frail population living in institutional settings. All Ombudsman services are unduplicated. Our community partners are senior service providers such as Adult Protective Services, Dept of Public Health, Dept. of Social Services, Area Agency on Aging; District Attorney; Ventura County Dept of Behavioral Health. We have 60 state certified volunteers who provide \$165,000 of in-kind services per year.

Project Outcomes/Evaluation: All residents in long term care will have access to an advocate to help ensure they have the highest care and quality of life possible. We are required by federal and state law to track our activities and input this information into a statewide data base. We are monitored by the County of Ventura Area Agency on Aging which tracks our activities and provides this information to the Administration on Aging. AAA reports confirm that our performance always meets or exceeds our goals.

Grant funds: The grant funds will support the expansion of the ombudsman program by recruiting and training more volunteers that provide direct services and help support the community outreach for the symposium to medical providers.

Support Project after grant fund ends: The program is ongoing and federally mandated, but not federally funded to a level to be effective. In the past the state provided funding to the program, but in the economic downturn has cut all state funding. However the state mandates such as investigating elder abuse, providing In-service training to facility caregivers, witnessing advanced health care directives that arise in nursing homes, maintaining a 24/7 crisis line for residents and families are still in effect. As a result many of our services are unfunded mandates. Our goal is to raise the funding necessary to fulfill our mandates.

Governance: We have a six-member Board of Directors who oversees the program. The Board makes decisions by majority vote. Last year the board donated over 700 hours of in-kind services. The Executive Director has a business background working in executive positions in publishing, marketing, and broadcasting, and directing a private foundation. Other staff has degrees in gerontology, education, and/or experience working with special needs populations.

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V. Project Budget

- A) Please provide a detailed line-item budget for your project by completing the table below.
Include all sources of funding for the proposed project.

Line Item Description	Line Item Explanation (Formula/equation used as applicable. Example: 40 books @ \$100 each = \$4000)	Support From Your Agency	Support From Other Funders	Requested Amount From TCF	Line Item Total of Project
Personnel Wages & Benefits	4 full time employees: 8,320 employee hours @ combined rate of \$32.40/hr, inclusive of payroll taxes and benefits		\$254,600	\$15,000	\$269,600
Consultants	Outside accounting & payroll services @ \$792 per month		\$9,500		\$9,500
Travel	Mileage reimbursement to personnel & volunteers 30,000 miles @ 45 cents/mile		\$12,500	\$1,000	\$13,500
Rent	12 months @ \$2,458/mo		\$27,500	\$2,000	\$29,500
Volunteer Training	3 volunteer trainings at \$1000 each		\$2,000	\$1,000	\$3,000
Symposium-Least Drugging			\$19,000	\$6,000	\$25,000
In-Kind Volunteer – Ombudsman	7,057 hours (60 volunteers) @ 23.38/hr	\$165,000			\$165,000
Insurance			\$5,300		\$5,300
Repairs & Maintenance			\$4,600		\$4,600
Office Expenses	Printing, supplies, postage, telephone, subscriptions		\$19,600		\$19,600
Other Operating Expenses			\$6,800		\$6,800
TOTALS:		\$165,000	\$361,400	\$25,000	\$551,400

VI. Sources of Funding: Please list your current sources of funding and amounts.

Secured/Awarded

Name of Funder: Foundation, Corporation, Government	Amount
Area Agency on Aging	\$150,875
Community Development Block & Municipal Grants – Various Ventura County Cities	\$57,865
Tobacco Settlement Funds	\$59,000

Pending

Name of Funder: Foundation, Corporation, Government	Amount	Decision Date
Camarillo Health Care District	\$1,250	8/2014
City of Oxnard	\$7,000	10/2014
Woods Claeysen Foundation	\$15,000	10/2014

Note: Applications for various funders will be made in Fall of 2014

VII. Financial Analysis

Agency Name: Long Term Care Services of Ventura County, Inc.

Most Current Fiscal Year (Dates): From 7/1/12 _____ To: 6/30/13 _____

This section presents an overview of an applicant organization's financial health and will be reviewed along with the grant proposal. Provide all the information requested on your entire organization. Include any notes that may explain any extraordinary circumstances. Information should be taken from your most recent 990 and audit. Double Check your figures!

Program to Total Expenses Ratio: Percentage of expenses used to support programming versus how much is spent for general management and fundraising. A general rule is that at least 75 percent of total expenses should be used to support programs – the higher the percentage the better.

Program Expenses	/Total Operating Expenses	= Program Expense Ratio
\$ 336,924	\$353,598	95%

990: Part IX, Column B, Line 25 990: Part IX, Column A, Line 25

Administrative Expense (100%-Program Expense ratio) per 990 above	Percentage of Organization's Current Total Budget used for Administration (from cover page)	Differential
5 %	✓ 2.3 %	(2.7) %

If the differential is above (+) or below (-) 10%, provide an explanation:

Quick Ratio: Measures the level of liquidity and measures only current assets that can be quickly turned to cash. A generally standard Quick Ratio equals 1 or more.

Cash	+ Accounts Receivables	/Current Liabilities	= Quick Ratio
\$418,936	\$36,913	\$14,148	32.22

Excess or Deficit for the Year:

Excess or (Deficit) Most recent fiscal year end	Excess or (Deficit) Prior fiscal year end
\$53,137	\$52,147

Notes: The Board of Directors has restricted the use of cash in the amount one year of operating expenses, based on the most current fiscal year. For 2012-2013, the restricted cash was \$354,000.

Diversity of Funding Sources: A financially healthy organization should have a diverse mix of funding sources. Complete those categories that apply to your organization using figures from your most recent fiscal year.

Funding Source	Amount	% of Total	Funding	Amount	% of Total
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		Revenue	Source		Revenue
Contributions	\$7,881	2%	Program Fees	\$	
Fundraising/Special Events	\$16,399	4%	Interest Income	\$2,813	1%
Corp/Foundation Grants	\$143,100	35%	Other:	\$	
Government Grants	\$236,534	58%	Other:	\$	

Notes:

VIII. Application submission check list:

	<u>Submit FOUR (4) Copies: 1 ORIGINAL (WITH ORIGINAL SIGNATURES) and 3 copies, collated and stapled together of the following:</u>	<u>Submit ONE (1) Copy:</u>
	Completed Grant Application Form (cover sheet, narrative (3 pages maximum), budget and sources of funding, financial analysis page	A copy of your current 501(c)(3) letter from the IRS
	A list of your Board members and their affiliations	A copy of your most recent year-end financial statements (audited if available; double-sided)
	Your current operating budget and the previous year's actual expenses	A copy of your most recent 990 (double-sided)
	Part IX only of the 990 form, Statement of Functional Expenses (one page)	
	For past grantees, a copy of your most recent final report.	

Long Term Care Services of Ventura County, Inc.
Organization Budget July 1, 2014 - June 30, 2015
Actual July 1, 2013 - June 30, 2014

	<u>Budget</u> <u>2014/2015</u>	<u>Actual</u> <u>2013/2014</u>
Revenues		
Government Grants		
AAA	152,000	150,112
Cities (CDBG & Municipalities)	75,300	72,097
Foundations & Fundraising	151,100	114,356
Donations	8,000	19,285
Interest Income	-	907
In-Kind Volunteer Time (No Board Time)	165,000	176,699
Total Revenues	<u>551,400</u>	<u>533,456</u>
Equipment & Furniture Purchases	3,000	2,640
Operating Expenses		
Accounting	7,000	5,426
Bank Charges	300	38
Business Meetings	1,000	2,377
Dues & Subscriptions	2,000	809
Insurance-General	2,300	3,221
Insurance-Workers Comp	3,000	1,535
Licenses	100	106
Marketing & Creative Services	2,500	1,148
Office Expense	4,700	2,373
Payroll Service	2,500	3,589
Printing & Advertising	2,000	2,179
Postage	1,000	456
Property Taxes - Time Share	700	897
Rent	29,500	29,448
Repairs & Maintenance	4,600	4,017
Wages, Payroll Tax & Employee Benefits	269,600	278,846
Staff Mileage	2,700	2,409
Staff Training	1,100	1,408
Symposium	25,000	-
Telephone	6,000	5,014
Volunteer Mileage & Exp Reimb	10,800	13,185
Volunteer Recognition	2,000	1,032
Volunteer Recruitment, Training & Expenses	3,000	1,821
In-Kind Volunteer Time (No Board Time)	165,000	176,699
Total Operating Expenses	<u>548,400</u>	<u>538,033</u>
Excess of Expenditures over Revenues	<u>(0)</u>	<u>(7,217)</u>

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response to any question in this Part IX.

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21				
2 Grants and other assistance to individuals in the United States. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16.				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	104,482.	99,258.	5,224.	0.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0.	0.	0.	0.
7 Other salaries and wages	112,939.	107,292.	5,647.	
8 Pension plan accruals and contributions (include section 401(k) and section 403(b) employer contributions)				
9 Other employee benefits				
10 Payroll taxes	7,354.	6,986.	368.	
11 Fees for services (non-employees):				
a Management				
b Legal				
c Accounting	5,403.	4,322.	1,081.	
d Lobbying				
e Professional fundraising services. See Part IV, line 17.				
f Investment management fees				
g Other. (If line 11g amt exceeds 10% of line 25, column (A) amt, list line 11g expenses on Sch O.)	1,902.	1,807.	95.	
12 Advertising and promotion	1,971.	1,971.		
13 Office expenses	3,018.	3,018.		
14 Information technology				
15 Royalties				
16 Occupancy	31,862.	30,269.	1,593.	
17 Travel	1,902.	1,902.		
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings				
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	3,907.	3,907.		
23 Insurance	2,535.	2,408.	127.	
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a <u>OUTSIDE SERVICES</u>	31,526.	29,950.	1,576.	
b <u>TRAINING SYMPOSIUM</u>	15,690.	15,690.		
c <u>PROGRAM EXPENSES</u>	11,285.	11,285.		
d <u>TELEPHONE</u>	5,251.	4,726.	525.	
e All other expenses	12,571.	12,133.	438.	
25 Total functional expenses. Add lines 1 through 24e.	353,598.	336,924.	16,674.	0.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720)				

Orange County Community Foundation Reporting Guidelines



All reports must be submitted by the due date via mail or hand delivery. Late reports are unacceptable and may jeopardize future funding to your organization. If more time is needed to complete a report, OCCF must receive notification at least two weeks prior to the due date. If there are changes to the grant period or contact information, please e-mail OCCF immediately.

Please mail or deliver your report to:
Orange County Community Foundation
Attn: Marisa Conner
4041 MacArthur Blvd., Suite 510
Newport Beach, CA 92660

Final Report

The final report is intended to be a concise depiction of how objectives were met and any challenges faced. The report and financial information should reflect funds expended during the grant period only.

The report should include the following:

- Cover Page
- Objectives Worksheet
- Narrative
- Financial Report (*a sample can be found on page 6*)

*An electronic version of the Reporting Guidelines is available on our website at www.oc-cf.org.

Cover Page

Grant Number: # <u>PR1017659</u> Grant Amount: \$ <u>8,000</u> Today's Date: <u>8/30/2011</u>	
OCCF Grant Program Name:	Ombudsman Program in Ventura County
Organization Name:	Long Term Care Services of Ventura County, Inc.,
Project Title:	Ombudsman Program – the Inappropriate Use & Abuse of Anti-psychotic Drugs in Nursing Homes
Grant Period:	September 15, 2010 – September 15, 2011
Contact Name & Title:	Sylvia Taylor-Stein, Executive Director
Address:	2021 Sperry Avenue Suite 35 Ventura, CA 93003
Phone & E-mail:	805-656-1986 ext 13 staylor@ombudsmanventura.org
Dates covered in report:	September 15, 2010 – September 15, 2011
OCCF Funded Project Description Summary (no more than 75 words):	Support the Ombudsman Outreach program to families and residents about the danger of antipsychotic drugs on older adults with dementia. Ombudsman Staff and Volunteers completed 8 hours training in toxic drugs and informed consent. Ombudsman Program brought together professionals on toxic drugs and informed consent and sponsored a Toxic Drug Symposium attended by 250 facility owners, operators, medical personnel, senior service providers and families and other interested persons.
Summary of Final Accomplishments (no more than 150 words):	Ombudsman Staff and volunteers received technical training provided by California Advocates for Nursing Home Reform (CANHR). The Ombudsman Program published 2,000 copies of CANHR's "Toxic Medicine" booklet and sponsored an 8 hour symposium on March 24, 2011 entitled "Toxic Drug Symposium", which was attended by 250 long term care facility owners/operators; state licensing agencies, community change makers, and interested persons. As a sequel to the Symposium, the Ombudsman Program sponsored a workshop for long term care facility providers on August 11, 2011, entitled "Creating a Culture of Comfort in Long Term Care Facilities. The 6 hour workshop was attended by all skilled nursing owners, operators and staff. The workshop was facilitated by Tena Alonzo, Director of Research, Beatitudes Campus, Phoenix, Arizona where they have established a 13 year excellent model based on comfort care as opposed to using anti-psychotic drugs for persons with dementia

Objectives Worksheet

List the objectives as stated in the original proposal. Include a brief summary of the action taken and evaluation of each. Attach additional pages if needed.

Objective 1:	Ombudsman Staff (4) and volunteers complete training in toxic medicine and informed consent provided by California Advocates for Nursing Home Reform. Nov. 2010	
Action:	Create, print, and publicize informational brochures on toxic medicine usage by the elderly and informed consent; 2,000 booklets "Toxic Medicine – What You Should Know to Fight the Misuse of Psychoactive Drugs in California Nursing Homes" and 500 Copies of "Toxic Drugs Symposium Resource Guide"	
Evaluation:	Client Feedback solicited via <u>Open Line</u> – Ombudsman client feedback technology (to sample, go to www.ombudsmanventura.org click on Open Line and send us a message). Clients will receive a check in from Open Line every month asking about their experience with the toxic drug educational outreach and to submit any questions they may have. Our goal is ongoing dialogue. This goal is accomplished and ongoing. See Exhibit "A" for sampling of comments/evaluations	

Objective 2:	Second Phase: Ensure 100% state certified Ombudsman Volunteers receive training in the effects of toxic medicine and informed consent. Ombudsman Volunteers attended the 8 hour symposium and 6 hour workshop and received 14 hours of classroom training,	
Action:	Trained staff ombudsman and medical professionals provide collaborative training (14 hours) within a six month window.	
Evaluation:	Upon completion of training, Ombudsman volunteers will be tested on knowledge of subject and have opportunities to participate in outreach, Ombudsman volunteers completed tests and evaluations following symposium and 6 hour workshop. In process of completing evaluation tool for ombudsman to work with facility owners in helping them reduce the use of antipsychotic drugs and create comfort measures for residents with dementia.	

Modification to Objectives *(if needed)*

If there are any modifications to an objective (i.e. number of clients served, timeline, etc.) please explain in detail in the box below.

We exceeded our goals and expectations. In addition to training our staff and volunteers, we were able to pilot a "Toxic Medicine Symposium to the long term care facilities, state licensing agencies, senior service providers, families of residents and other interested parties. Our Open Line evaluation comments confirm that the symposium and the workshop were well received and valuable to our long term care facilities in creating culture change and reducing the use of antipsychotic drugs in their facilities.

Narrative

Provide a brief description of the program for which you received funding and the impact of this funding to date. Be sure to include your successes as well as any obstacles encountered and how they were addressed.

We serve 8,500 aging adults living in 234 long term care facilities in Ventura County. The frail and elderly person in long term care is one of the largest underserved populations in Ventura County. Seventy percent of those in nursing homes are low income, depending on MediCal and SSI to pay for their care; sixty percent have no family or friends who ever visit or look out for them; median age is 83; all have some type of chronic disease. Seventy percent (70%) suffer from dementia, and everyday elderly nursing home residents with dementia receive powerful antipsychotic drugs to sedate and control them.

The FDA has issued its most dire warning – the black box warning – that antipsychotic drugs are not intended or approved for elders with dementia and can cause death. To make the situation our elderly face even worse, this increased use of psychoactive drugs in nursing homes has been accompanied by an epidemic disregard for the rights of residents to give or withhold their informed consent. Despite legal requirements, the informed consent of residents or their representatives is often ignored. Many families of long term care residents, and even staff members in a long term care facility, may see the unresponsive elderly person as just another victim of old age, mental decline, and or dementia. However, for many of our elderly, the poor quality of life is often caused, not by the symptoms of their decline or disease, but by the side effects of their medications. The use of anti-psychotic drugs to sedate and chemically restrain the elderly has become a dangerous contagion in institutional settings. We see the frightful effects on a regular and frequent basis.

Our goal is to find additional funding to roll out a series of “Least Drugging Symposia” to educate nursing home owners and operators, medical directors, families, and residents about the dangers of such drugs and to instruct them in enforcing their legal right of informed consent. We held our first “Least Drugging Symposium” in March, 2011 and it was attended by over 250 Ventura County long term care facility owners and operators, medical persons, advocates and senior service providers, conservators, and public guardians. It was very well received. Our obstacle is funding a multi-year rollout to bring this vital information to our community and create a strategic plan with providers that will reduce the use of powerful anti-psychotic drugs and improve the quality of life for our elders in long term care. Below are some links to the publicity we received as a result of the first symposium, and also links to the systemic advocacy work we are doing to create a stronger Ombudsman Program statewide.

<http://www.canhr.org/stop-drugging/archives/740>

<http://www.vcstar.com/news/2011/jul/28/ombudsman-program-serves-as-watchdog-in-nursing/>

<http://www.vcstar.com/news/2011/mar/24/use-of-chemical-restraints-in-nursing-homes-an/>

<http://www.vcstar.com/news/2011/apr/23/taylor-stein-make-145least-drugging-a-nursing/>

<http://www.vcstar.com/news/2011/apr/07/local-advocate-pushes-fight-over-state-watchdog/>

<http://greymattersradio.com/2011/08/14/creating-a-culture-of-comfort-alternative-method-for-treating-patients-with-dementia/>

We believe the success of our first symposium has laid a strong foundation for us to now raise the bar and engage the cutting edge medical professionals who can bring the credibility to our “least drugging movement” and get the buy-in we want from long term care facility owners, operators, and medical directors. We are working with the California Advocates for Nursing Home Reform, and medical professionals in the field of aging to identify these resources we can utilize for our outreach

Open Line Evaluation comments of the “Toxic Medicine Symposium” are attached as **Exhibit “A”**

EXHIBIT "A" Open Line Comments Toxic Medicine Symposium and Culture of Comfort Workshop.

As a lay person (daughter of a nursing facility resident), I want to compliment you for putting forth this issue with great passion from entire team of Ombudsmen and speakers. Very informative and stimulating. From 'my side of the fence', I have heard lots of talk from administrators and doctors re: what they heard as well. You got the fire of reform going!

(Caregiver, daughter of mother with Alzheimer's)

An amazing day. I appreciated the size of the audience. Awareness about the potential dangers of psychoactive drugs clearly increased, as evidenced by comments made by participants throughout the day. Great to have CDPH regulators on hand, as well as so many ombudspersons. Best part of the day: hearing from the Administrator of The Beatitudes. We must have workable examples of how to treat behaviors without drugs, and The Beatitudes is just that: a good example. (Senior Service Provider)

10! Thank you so much for shedding light on an issue that shamefully lives in the shadows of our long term care system. The Symposium began very important discussion that while extremely sensitive, needs to be moved forward before we lose the momentum gained that day. On behalf of the District, we continue to be interested in learning more and becoming part of this critically important movement for change. Please tell us how we can help (Health Care District, CRO)

The symposium was extremely enlightening and presented excellent information from a variety of well-informed individuals. I obtained new knowledge about a subject that I was not well acquainted with and now feel much more aware of the extent of this devastating problem.

(Senior Advocate)

The symposium was educational. I will also buy the book. The speakers were very knowledgeable and their presentation professional. I want to continue to learn and encourage the Ombudsman program for more symposiums. During the day's presentation I could not help but look around at who was there. It felt like I was in church. All of us were there because we made a decision to become informed on behalf of the most vulnerable. We must continue to get better at taking care of people.

(Senior Advocate)

Outstanding gathering of a concerned community. Praise to VC Ombudsman for an amazing accomplishment. Arguments need to be heard about debatable med or legal perspectives. Psych meds do have benefits but at what cost? Legal interventions are beneficial but at what cost? Not \$, all factors. I want to hear a debate, the science and the personal stories on both sides. Nevertheless, its clear that any lack of informed consent in medication administration is troubling. I hope we can engage key people in the effort to address that problem. Open to all ideas. Keep me posted on the next steps. Thank you very much Sylvia et al for reminding me of what I need to know. It's humbling but it's a very good thing. I thank you,

(Ventura County Superior Court Mediator)

I really want to thank you all for the conference information. I think it was well attended and the information was valuable on what focus your organization and others will be working towards in the future. I do feel that more information about the drugs could have been given. I hope that when you have the opportunity to plan another conference & that it will have additional break out sessions that specifically address many of the drugs used with the elderly and that this information is more adequately defined for the audience. I would like to see a medical professional and/or pharmacist run a few of the sessions and that visual presentations/examples are incorporated. This will help many of us who are auditory and visual learners. Thank You

(Community Care Licensing, Analyst)

INTERNAL REVENUE SERVICE
DISTRICT DIRECTOR
2 CUPANIA CIRCLE
MONTEREY PARK, CA 91754

DEPARTMENT OF THE TREASURY

Date: AUG 24 1993

LONG TERM CARE SERVICES OF VENTURA
COUNTY INC
C/O LONG TERM CARE OMBUDSMAN
PROGRAM OF VENTURA COUNTY
1841 KNOLL DR
VENTURA, CA 93003-7321

Employer Identification Number:
77-0199665
Case Number:
953211030
Contact Person:
TYRONE THOMAS
Contact Telephone Number:
(213) 894-2289

Our Letter Dated:
March 29, 1989
Addendum Applies:
No

Dear Applicant:

This modifies our letter of the above date in which we stated that you would be treated as an organization that is not a private foundation until the expiration of your advance ruling period.

Your exempt status under section 501(a) of the Internal Revenue Code as an organization described in section 501(c)(3) is still in effect. Based on the information you submitted, we have determined that you are not a private foundation within the meaning of section 509(a) of the Code because you are an organization of the type described in section 509(a)(1) and 170(b)(1)(A)(vi).

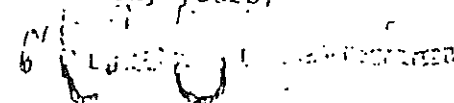
Grantors and contributors may rely on this determination unless the Internal Revenue Service publishes notice to the contrary. However, if you lose your section 509(a)(1) status, a grantor or contributor may not rely on this determination if he or she was in part responsible for, or was aware of, the act or failure to act, or the substantial or material change on the part of the organization that resulted in your loss of such status, or if he or she acquired knowledge that the Internal Revenue Service had given notice that you would no longer be classified as a section 509(a)(1) organization.

If we have indicated in the heading of this letter that an addendum applies, the addendum enclosed is an integral part of this letter.

Because this letter could help resolve any questions about your private foundation status, please keep it in your permanent records.

If you have any questions, please contact the person whose name and telephone number are shown above.

Sincerely yours,



Michael J. Quinn
District Director



July 30, 2014

Celia Cudiamat
Executive Vice President
The Community Foundation
3700 Sixth St., suite 200
Riverside, CA 92501

Dear Ms. Cudiamat,

On behalf of our Board of Directors, staff and ombudsman volunteers, I would like to express our deepest appreciation to the S.L. Gimbel Foundation and The Community Foundation for the opportunity to submit a grant proposal under the Foundation's Health Grant Program. We are also very grateful to Virginia Weber at the Ventura County Community Foundation for recommending us for funding.

I have enclosed our original application along with 3 complete sets and one set of attachments. Our request is for \$25,000 for program support.

We hope you will want to fund this vitally important and much needed unduplicated program, and we thank you for your consideration. Please feel free to contact me with any questions.

Sincerely,

A handwritten signature in black ink, appearing to read "Sylvia Taylor-Stein".

Sylvia Taylor-Stein
Executive Director

Long Term Care Services of Ventura County, Inc.
2021 Sperry Avenue, Suite 35, Ventura, CA 93003
Tel (805) 656-1986 Fax (805) 658-8540
www.OmbudsmanVentura.org

A 501 (c) 3 public benefit charitable corporation



The Community Foundation

Serving the Counties of Riverside and San Bernardino

S. L. Gimbel Foundation Fund

BOARD OF DIRECTORS November 13, 2014

James Cuevas
Chair of the Board

Philip Savage IV
Vice Chair of the Board

Pat Spafford, CPA
Chief Financial Officer

Sean Varner
Secretary of the Board

Glenda Bayless

Dr. Paulette Brown-Hinds

Sergio Bohon

Rabbi Hillel Cohn

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Kirk Harns

Dr. Fred Jandt

Andrew Jaramillo

Dr. Albert Karnig

D. Matthew Pim

Patrick O'Reilly

Rose Salgado

Beverly Stephenson

Grover Trask
Immediate Past Board Chair

Dr. Jonathan Lorenzo Yorba
President and CEO

Ms. Sylvia Taylor-Stein
Executive Director
Long Term Care Services of Ventura County Inc.
Ombusman Program
2021 Sperry Ave. Suite 35
Ventura, CA 93003

Dear Ms. Taylor-Stein:

Congratulations! A grant has been approved for **Long Term Care Services of Ventura County, Inc.** in the amount of **\$25,000** from the S.L. Gimbel Foundation. **The performance period for this grant is November 1, 2014 to October 31, 2015.** Additional funding beyond the performance period is not guaranteed. It is highly recommended that alternative funding sources be sought accordingly. The grant is to support the following as specified in your proposal:

Ombudsman Program: Program support.

This grant is subject to the terms outlined in the enclosed Grant Agreement. After you have reviewed the terms and conditions of the Grant Agreement, please sign and date the enclosed copy and return the original copy to The Community Foundation within the next two weeks. Please retain a copy of the signed agreement for your records. Funds will be released upon receipt of the signed Grant Agreement.

A condition of this grant is that you agree to submit the Grant Evaluation Form which includes a narrative report and fiscal report. **The Grant Evaluation is due by November 16, 2015** and a copy will be available online.

We wish you great success and look forward to working with you during the grant performance period.

If you have any questions, please call me at 951-684-4192 ext. 114 or email me at ccudiamat@thecommunityfoundation.net.

Sincerely,

Celia Cudiamat
Executive Vice President of Programs

21113 Long Term Care Services of Ventura County Inc.
GIMB4

20140737



Confirmed in Compliance
with National Standards for
U.S. Community Foundations

2014 S.L. Gimbel Foundation Fund

Grant Agreement

Organization: Long Term Care Services of Ventura County Inc.
Grant Amount: \$ 25,000 **Grant Number:** 20140737
Grant Period: November 1, 2014 to October 31, 2015 (Evaluations due November 16, 2015)
Purpose: Ombudsman Program: Program support.

1. Use of Grant Funds

Grant funds must be expended within the grant period, for the purpose and objectives described in your grant proposal. Grant funds may not be expended for any other purpose without prior written approval by The Community Foundation. If there are significant difficulties in making use of the funds as specified in your proposal, or if the grant funds cannot be spent within the grant period, notify us in writing promptly.

Formal requests for extensions or variances must be submitted to the Foundation's Board of Directors for approval a minimum of 60 days before the end of the grant period.

Requests for variances or extensions are reviewed on a case-by-case basis and approved by the Board of Directors. If a request is denied, unused funds must be immediately refunded to the Foundation.

2. Payment of Grant Funds

The grant funds will be paid in full by the Foundation upon receipt of the signed Grant Agreement. Challenge grant funds will be paid in full upon receipt of the signed Grant Agreement and upon receipt of documentation providing evidence that condition(s) of the challenge grant has/have been met.

3. Certification and Maintenance of Exempt Organization Status

This grant is specifically conditioned upon Grantee's status as an eligible grantee of The Community Foundation. The Foundation has obtained a copy of the Grantee's IRS determination letter. Grantee confirms that it has not had any change in its tax-exempt status, and shall notify the Foundation immediately of any such change.

4. Final Report and Records

The Grantee will submit the Grant Evaluation report per the deadline set forth in the award letter. This report includes a narrative on outcomes based on goals and objectives set forth in the grant proposal and an expenditure report documenting use of grant funds. If equipment was purchased, copies of receipts need to be included.

5. Grantee's Financial Responsibilities

Grantee will keep records of receipts and expenditures of grant funds and other supporting documentation related to the grant at least four (4) years after completion of the grant and will make such records of receipts, expenditures and supporting documentation available to the Foundation upon request.

6. Publicity

The Community Foundation recommends publicity for the grant and acknowledging The Community Foundation in internal correspondence, brochures as appropriate; newsletters, annual reports and email blasts or e-newsletters.

The credit line of "Made possible in part by a grant from the **"S.L. Gimbel Foundation Advised Fund at The Community Foundation – Inland Southern California"** is suggested. When your donors are listed in printed materials, include the S.L.

Gimbel Foundation Advised Fund at The Community Foundation in the appropriate contribution size category. When publishing our name, please note the "The" at the beginning of our name is a legal part of our name. It should always be used and capitalized. Attaching our logo is also appreciated. Our logo can be downloaded from our website at www.thecommunityfoundation.net.

7. Indemnification

In the event that a claim of any kind is asserted against the Grantee or the Foundation related to or arising from the project funded by the Grant and a proceeding is brought against the Foundation by reason of such claim, the Grantee, upon written notice from the Foundation, shall, at the Grantee's expense, resist or defend such action or proceeding, at no cost to the Foundation, by counsel approved by the Foundation in writing.

Grantee hereby agrees, to the fullest extent permitted by law, to defend, indemnify, and hold harmless the Foundation, its offices, directors, employees, and agents, from and against any and all claims, liabilities, losses, and expenses (including reasonable attorneys' fees) directly, indirectly, wholly, or partially arising from or in connection with any act or omission by Grantee, its employees, or agents in applying for or accepting the Grant, in expending or applying the Grant funds or in carrying out any project or program supported by the Grant, except to the extent that such claims, liabilities, losses, and expenses arise from or in connection with any bad faith act or omission by the Foundation, its officers, directors, employees, or agent.

8. Termination

The Community Foundation may terminate this agreement, withhold payments, or both at any time, if, in the Community Foundation's judgment: a) The Community Foundation is not satisfied with the quality of the Grantee's progress toward achieving the project goals and objectives; b) the Grantee dissolves or fails to operate; c) the Grantee fails to comply with the terms and conditions of this agreement.

9. Limitation of Support

This Agreement contains the entire agreement between the parties with respect to the Grant and supersedes any previous oral or written understandings or agreements.

I have read and agree to the terms and conditions of the Grant Agreement.

Sylvia Taylor Stein
Signature

November 17, 2014
Date

SYLVIA TAYLOR STEIN
Printed Name

Executive Director
Title

Organization: 21113 Long Term Care Services of Ventura County Inc.
Grant Number: 20140737

as
11/21/14



November 17, 2014

Celia Cudiamat
Executive Vice President
The Community Foundation
3700 Sixth St., Suite 200
Riverside, CA 92501

Dear Ms. Cudiamat,

On behalf of the volunteers, staff, and Board of Directors of the Long Term Care Ombudsman Program of Ventura County, I would like to express our deepest appreciation for the very welcome and much needed grant award of \$25,000 from The Community Foundation and The SL Gimbel Foundation Fund. Please be assured your gift will be put to good use serving the 8,500 elderly in 234 long term care facilities in Ventura County.

As a small non-profit there is no way we could provide the much needed advocacy, and supportive services to this vulnerable and dependent population without community involvement – and most especially without the financial assistance of generous organizations such as The Community Foundation and The SL Gimbel Foundation Fund. Thank you for making this deserving generation of seniors a priority, and so generously supporting our advocacy efforts on their behalf.

We wish everyone at The Community Foundations and the SL Gimbel Foundation a very Happy and Blessed Thanksgiving.

Sincerely,

Sylvia Taylor-Stein
Executive Director

p.s. I have enclosed the original signed Grant Agreement.

BOARD OF DIRECTORS December 2, 2014

James Cuevas
Chair of the Board

Ms. Sylvia Taylor-Stein
Executive Director

Philip Savage IV
Vice Chair of the Board

Long Term Care Services of Ventura County Inc.
Ombusman Program 2021 Sperry Ave. Suite 35
Ventura, CA 93003

Pat Spafford, CPA
Chief Financial Officer

Sean Varner
Secretary of the Board

Dear Ms. Taylor-Stein:

Glenda Bayless
Dr. Paulette Brown-Hinds

The Community Foundation is pleased to enclose a grant check for **\$25,000** from the S. L. Gimbel Foundation, a component fund at The Community Foundation. By cashing the grant check, you are agreeing to the conditions stated under the *Terms of Grant* which you have signed and returned. The completed Grant Evaluation form is due by November 16, 2015 and will be available online on The Community Foundations website under Grants/Forms . Please note that any grant variances or extensions must be requested in writing and in advance. Any remaining grant funds must be returned to The Community Foundation at the end of the grant period.

Sergio Bohon
Rabbi Hillel Cohn

Andrea Dutton

Robert Fey

Paul Granillo

Stanley Grube

Kirk Harns

Dr. Fred Jandt

Andrew Jaramillo

Dr. Albert Karnig

D. Matthew Pim

Patrick O'Reilly

Rose Salgado

Beverly Stephenson

Grover Trask
Immediate Past Board Chair

We greatly appreciate any help you can give us in publicizing the grant. **Please use the following credit in any grant announcements or materials funded by the grant: "The (name of project/program) is supported by a grant from The S. L. Gimbel Foundation."** You may send copies of articles printed in local papers, stories in your agency newsletter, annual report, press releases, and other publications for our files.

If you have any questions, please contact me at 951-684-4194.

Sincerely,



Celia Cudiamat
Executive Vice President of Programs

20140737

38385

GIMB4

Dr. Jonathan Lorenzo Yorba
President and CEO



Confirmed in Compliance
with National Standards for
U.S. Community Foundations

The Community Foundation

Strengthening Inland Southern California through Philanthropy
 3700 SIXTH STREET, SUITE 200
 RIVERSIDE, CA 92501
 951-241-7777 / FAX 951-684-1911

CITIZENS BUSINESS BANK
 A Financial Services Company
 3695 Main Street, Riverside, CA 92501
 90-3414-1222

Check Fraud
 Protection for Business

PAY * Twenty-Five Thousand and no/100 *

TO THE ORDER OF

Long Term Care Services of Ventura County Inc.
 Ombusman Program
 2021 Sperry Ave. Suite 35
 Ventura, CA 93003

DATE

11/13/2014

AMOUNT

\$ ****25,000.00



Celia Andramat
Jonathan Lorenzo Yolsa
 AUTHORIZED SIGNATURE

Security features. Details on back.

⑈038385⑈ ⑆122234149⑆ 244124437⑈

The Community Foundation

38385

21113 Long Term Care Services of Ventura County Inc.

11/13/2014 038385

20140737 11/10/2014 Ombudsman Program
 GIMB S.L. Gimbel Foundation Advised Fund

25,000.00
 25,000.00

CHECK TOTAL: \$ ****25,000.00

The Community Foundation

38385

21113 Long Term Care Services of Ventura County Inc.

11/13/2014 038385

20140737 11/10/2014 Ombudsman Program
 GIMB S.L. Gimbel Foundation Advised Fund

25,000.00
 25,000.00

CHECK TOTAL: \$ ****25,000.00